



# ISA Transfer Form

## Information for Castle Trust Bank

Personal details			
Title	<input type="text"/>	Permanent residential address	<input type="text"/>
First name	<input type="text"/>		
Last name	<input type="text"/>	Postcode	<input type="text"/>
Date of birth	<input type="text"/>	National Insurance Number	<input type="text"/>

You should be able to find your NI number on a payslip, P45 or P60, a letter from the HM Revenue & Customs, a letter from the DWP or a pension order book.

---

Account information	
If you have already opened the account you wish to transfer into, please provide the account number (this is 8 digits long and can be found on your welcome letter)	<input type="text"/>
If you have not yet opened the account you wish to transfer into, tick this box and we will open the account for you (please ensure you also enclose a completed account Application Form)	<input type="checkbox"/>

---

Data protection - please read Use for your information	
<p>Castle Trust Bank takes your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ("your information") for the purposes of:</p> <ul style="list-style-type: none"><li>• Providing you with our products and services and dealing with enquiries and requests;</li><li>• Administering your investments;</li><li>• Checking your identity to prevent financial crime;</li><li>• Carrying out market research, statistical analysis and customer profiling;</li><li>• Sending you marketing information (by post, telephone, email and SMS where you have indicated) about products and services of Castle Trust Bank and of third parties whose products and services Castle Trust Bank offers to its customers where these are like those that we have already provided to you; and</li><li>• Administrative, compliance, regulatory or law enforcement purposes, whether UK law or otherwise (including, without limitation, for complying with and/ or enforcing money laundering and anti-terrorism laws and regulations).</li></ul> <p>Your information will be processed as above, in accordance with the data protection laws and any other relevant UK law or</p>	<p>regulation. Castle Trust Bank will not disclose your information to third parties except: (a) to Affiliates; (b) to UK and other government entities and regulatory bodies; (c) to service providers; (d) to Castle Trust Bank's professional advisers; (e) to any other person or entity Castle Trust Bank reasonably thinks customary, necessary or advisable for the processing purposes described above or to whom Castle Trust Bank is obliged by UK or other law or regulation to make the disclosure; or (f) with your consent. You are entitled by law to opt out of use of your information by Castle Trust Bank for direct marketing purposes, to be provided with further information about the processing of your information and access to that information (subject to exceptions) and to require inaccurate personal information to be deleted or corrected. If you wish to exercise any of these rights, you may do so by sending a written request to Castle Trust Bank.</p> <p>For more information on how we handle your information or to obtain a copy of your information, please visit our Privacy Policy online at <a href="http://www.castletrust.co.uk">www.castletrust.co.uk</a> or write to us at: DPO, Castle Trust Bank, PO Box 6965, Basingstoke, RG24 4XE.</p> <p>If you are happy to be contacted by Castle Trust Bank for marketing purposes, please tick the boxes below:</p> <p>Email <input type="checkbox"/> Phone <input type="checkbox"/> Post <input type="checkbox"/></p> <p>Date <input type="text"/></p>
Signed <input type="text"/>	

Please complete the form on the next page, we will use this information to write to your existing fund manager to initiate the ISA transfer process.

Castle Trust Bank means Castle Trust Capital plc, a company incorporated in England and Wales with company number 07454474 and registered office at 10 Norwich Street, London, EC4A 1BD. Castle Trust Capital plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, under reference number 541910.

# ISA Transfer Form

Information for your existing fund manager

Personal details			
Title	<input type="text"/>	Permanent residential address	<input type="text"/>
First name	<input type="text"/>		
Last name	<input type="text"/>	Postcode	<input type="text"/>
Date of birth	<input type="text"/>	National Insurance Number	<input type="text"/>
<p>You should be able to find your NI number on a payslip, P45 or P60, a letter from the HM Revenue &amp; Customs, a letter from the DWP or a pension order book.</p>			
Details about the ISA you want to transfer			
Name of existing ISA Manager	<input type="text"/>		
ISA Manager address (may be different to the branch address – please check)	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
Type of ISA being transferred	<input type="checkbox"/> Cash ISA	<input type="checkbox"/> Stocks & Shares ISA	
ISA sort code (cash ISA only)	<input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/>	ISA account / plan number	<input type="text"/>
Roll number (if applicable)	<input type="text"/>		
<p>Please note that under HM Revenue &amp; Customs ISA Regulations, you can only subscribe to one cash ISA in each tax year.</p>			
If you are transferring the current tax year subscriptions please tick this box.	<input type="checkbox"/>	£	<input type="text"/>
How much have you subscribed in the current tax year?			
Previous tax year(s) subscriptions to an ISA can be transferred in whole or in part. Please indicate which of the following is to be transferred.	<input type="checkbox"/> The entire ISA	<input type="checkbox"/> Part of the ISA	
If you are transferring part of your ISA please state the amount to be transferred.	<input type="text"/>	OR	<input type="text"/> %
<p>Please note that your transfer value must be received as cash, we cannot accept in-specie transfers.</p>			
Your transfer authority			
I authorise the existing ISA manager (specified above) to transfer the ISA (account number above) to Castle Trust Capital plc.	Serve the full notice period before this instruction can be processed	<input type="checkbox"/>	
I also authorise the existing ISA Manager to provide Castle Trust Capital plc with any information, written or verbal, concerning the ISA and to accept any instructions from them relating to the ISA being transferred.	Or, proceed immediately with the transfer bearing any consequential penalty which may be applied.	<input type="checkbox"/>	
Where a period of notice is required for closure/part transfer of the existing ISA, I give my consent to either: (if this applies to you, please tick one only, otherwise leave both boxes blank and sign):	Please be aware that if you choose to serve the full notice period of your existing account, the Castle Trust Bank account you are transferring into you may no longer be available at the same rate.		
Signed	<input type="text"/>	Date	<input type="text"/>